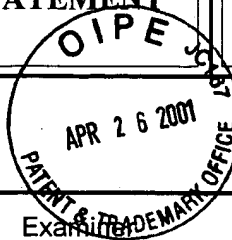


2182

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
1206-00

In Re Application Of:  
Friedman, et al.



Serial No.  
09/701,157

Filing Date  
11/22/00

Examiner

Group Art Unit  
2182

Title: **SYSTEM AND METHOD FOR PROVIDING DATA SECURITY**

RECEIVED  
APR 27 2001  
Technology Center 2100

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
1206-00

In Re Application Of:  
Friedman, et al.

Examiner

Serial No.  
09/701,157

Filing Date  
11/22/00

Group Art Unit  
2182

Title: **SYSTEM AND METHOD FOR PROVIDING DATA SECURITY**

RECEIVED  
APR 27 2001  
Technology Center 2100

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-3405 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on April 23, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Paul A. Taufer

Typed or Printed Name of Person Mailing Correspondence

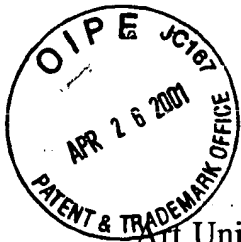
\*This certificate may only be used if paying by deposit account.

Dated: April 23, 2001

Signature

Paul A. Taufer, Esq.  
Reg. No. 35,703  
Schnader Harrison Segal & Lewis, LLP  
1600 Market Street, Suite 3600  
Philadelphia, PA 19103  
(215) 751-2475  
(215) 568-6946 - fax

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 2182  
Examiner :  
Serial No. : 09/701,157  
Filed : 11/22/00  
Inventor : Friedman, et al.  
Docket : 1206-00  
Title : SYSTEM & METHOD FOR PROVIDING DATA SECURITY

#3  
KWS  
620-01  
RECEIVED  
APR 27 2001  
Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT**

**Box DD**

Assistant Commissioner for Patents  
Washington, DC 20231

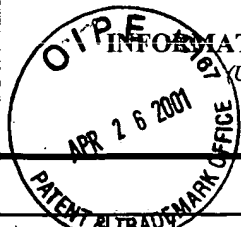
Sir:

In the interest of full disclosure, we submit herewith the usual Form PTO-1449 together with copies of the cited references listed therein in accordance with 37 CFR §§1.56, 1.97 and 1.98 for consideration by the Examiner. The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

Respectfully submitted,

April 23, 2001

Paul A. Tauffer  
Reg. No. 35,703  
Attorney for Applicants  
SCHNADER HARRISON SEGAL & LEWIS, LLP  
1600 Market Street, 36th Floor  
Philadelphia, PA 19103  
(215) 751-2475  
(215) 568-6946 -fax



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

1206-00

Application Number

09/701,157

Applicant(s)

George Friedman, et al.

Filing Date

11/22/00

Group Art Unit

2182

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE    | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|---------|-----------------|-------|----------|-------------------------------|
|                      | AA  | 5,910,987       | 6/8/99  | Ginter, et al.  |       |          |                               |
|                      | AB  | 6,055,236       | 4/25/00 | Nessett et al.  |       |          |                               |
|                      | AC  | 5,559,883       | 9/24/96 | Williams        |       |          |                               |
|                      | AD  | 5,848,158       | 12/8/98 | Saito, et al.   |       |          |                               |
|                      | AE  | 5,444,780       | 8/22/95 | Hartman, Jr.    |       |          |                               |
|                      | AF  | 5,283,828       | 2/1/94  | Saunders et al. |       |          |                               |
|                      |     |                 |         |                 |       |          |                               |
|                      |     |                 |         |                 |       |          |                               |
|                      |     |                 |         |                 |       |          |                               |
|                      |     |                 |         |                 |       |          |                               |
|                      |     |                 |         |                 |       |          |                               |
|                      |     |                 |         |                 |       |          |                               |

RECEIVED  
APR 27 2001  
Technology Center 2100

## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
|  |     |                 |      |         |       |          | YES         | NO |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |   |
|--|----|---|
|  | AG | International Search Report in connection with corresponding PCT application (PCT/US00/26882) mailed on April 10, 2001. |
|  |    |   |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.